

NOTICE OF PRIVACY PRACTICES

Revised Date: March 9, 2016

THIS NOTICE DESCRIBES HOW MEDICAL INFORMATION ABOUT YOU MAY BE USED AND DISCLOSED AND YOUR RIGHTS. PLEASE REVIEW IT CAREFULLY.

You are receiving health care at Arthritis and Rheumatology Clinics of Kansas, LLC. (ARCK), or a facility that is part of Arthritis and Rheumatology Clinics of Kansas, LLC.

We are required by law to maintain the privacy of individually identifiable patient health information (this information is “protected health information” and is referred to herein as “PHI”). We are also required to provide patients with a Notice of Privacy Practices regarding PHI. We will only use or disclose your PHI as permitted or required by applicable state law. This Notice applies to your PHI in our possession including the medical records.

ARCK understands that your health information is highly personal, and we are committed to safeguarding your privacy. Please read this Notice of Privacy Practices thoroughly. It describes how ARCK will use and disclose your PHI, and what your rights are under HIPAA.

This Notice applies to the delivery of health care by ARCK and its medical providers in the clinic.

I. Permitted Use or Disclosure

A. Treatment: ARCK will use and disclose your PHI in the provision and coordination of health care to carry out treatment functions.

ARCK will disclose all or any minimally necessary portion of your patient medical record information to your attending physician, consulting physician(s), nurses, pharmacists, technicians, medical students, dieticians, and other health care providers who have a legitimate need for PHI in your care and continued treatment.

Different departments will share medical information about you in order to coordinate specific services, such as lab work, x-rays and prescriptions.

ARCK also will disclose PHI to people or entities outside ARCK, who will be involved in your medical care after you leave ARCK, such as other care providers and family, who will provide services that are part of your care and to coordinate treatment.

ARCK will use and disclose your PHI to inform you of, or recommend possible treatment options or alternatives that will be of interest to you.

ARCK will use and disclose PHI to contact you as a reminder that you have an appointment for treatment or medical care at ARCK. With respect to a group health plan, ARCK may also disclose PHI to a health plan sponsor.

If you are an inmate of a correctional institution or under the custody of a law enforcement officer, ARCK will disclose your PHI to the correctional institution or law enforcement official.

B. Payment: ARCK will disclose PHI about you for the purposes of determining insurance coverage and eligibility, billing, claims management, medical data processing, stop loss / reinsurance and reimbursement.

The medical information will be disclosed to any insurance company, third party payer, third party administrator, health plan or other health care provider (or their duly authorized representatives) involved in the payment of your medical bill and will include copies or

excerpts of your medical records which are necessary for payment of your account. It will also include sharing the necessary information to obtain pre-approval for payment for treatment from your health plan.

The medical information may also be released to independent health care providers who were involved in your treatment so that they may seek payment for caring for you.

ARCK will disclose PHI to collection agencies and other subcontractors engaged in obtaining payment for care.

C. Health Care Operations: ARCK will use and disclose your PHI during routine health care operations including quality review, utilization review, medical review, internal auditing, accreditation, certification, licensing or credentialing activities of ARCK, and for educational purposes.

For instance, we will need to share your demographic information, diagnosis, treatment plan and health status for population based activities relating to improving health or reducing health care costs, protocol development, case management and care coordination.

ARCK may also use and disclose your PHI to support the sale, transfer, or other corporate restructuring of ARCK's assets.

D. Other Uses and Disclosures: PHI may also be used for the following purposes:

Medical Research: ARCK may disclose your PHI without your authorization to medical researchers who request it for approved medical research projects; however, with very limited exceptions such disclosures must be cleared through a special approval process before any PHI is disclosed to the researchers. Researchers will be required to safeguard the PHI they receive.

Health Promotion Activities: with the exception of mental health and substance abuse PHI, ARCK will use and disclose some of your PHI for certain health promotion activities. For example, your name and address will be used to send you newsletters or general communications. We will also send you information based on your own health concerns. We may send you this information if we have determined that a product or service may help you. The communication will explain how the product or service relates to your well-being and can improve your health.

Electronic Health Information Technology: ARCK participates in electronic health information technology or HIT. This technology allows a provider or a health plan to make a single request through a health information organization or HIO to obtain electronic records for a specific patient from other HIT participants for purposes of treatment, payment, or health care operations. HIOs are required to use appropriate safeguards to prevent unauthorized uses and disclosures.

You have two options with respect to HIT. First, you may permit authorized individuals to access your electronic health information through an HIO. If you choose this option, you do not have to do anything.

Second, you may restrict access to **all** of your information through an HIO (except as required by law). If you wish to restrict access, you must submit the required information either online at <http://www.KanHIT.org> or by completing and mailing a form. This form is available at <http://www.KanHIT.org>. You cannot restrict access to certain information only; your choice is to permit or restrict access to all of your information.

If you have questions regarding HIT or HIOs, please visit <http://www.KanHIT.org> for additional information.

If you receive health care services in a state other than Kansas, different rules may apply regarding restrictions on access to your electronic health information. Please communicate directly with your out-of-state health care provider regarding those rules.

II. Permitted Use or Disclosure with an Opportunity for You to Agree or Object

A. Family/Friends: ARCK may disclose PHI about you to a friend or family member who is involved in your medical care. We will also give information to someone who helps you pay for your care. In addition, we will disclose PHI about you to an agency assisting in a disaster relief effort so that your family can be notified about your condition, status and location. You have a right to request that your PHI not be shared with some or all of your family or friends.

B. Promotional Communications: ARCK will not share or sell your information to companies that sell health care products or services for use by those companies to contact you.

III. Use or Disclosure Requiring Your Authorization

A. Marketing: ARCK will not provide your information to any other person or company for marketing any products or services other than ARCK products or services unless you have signed an authorization. ARCK will not sell your information without your authorization. ARCK will not send treatment communications to you concerning treatment alternatives (where ARCK receives financial remunerations from a third party in exchange for making the communication) unless you have signed an authorization. You have a right to opt out of receiving such communications.

B. Research: With your prior authorization to participate in research, ARCK may use or disclose your information as part of research. For example, if you are part of a research study that includes treatment, ARCK may require that you sign an authorization to allow the researchers to use or disclose your information for this research.

C. Other Uses: Any uses or disclosures that are not for treatment, payment, or operations and that are not required for public policy purposes or by law, and other uses and disclosures not described in this Notice will be made only with your written authorization.

D. Revocation: You have the right to revoke an authorization at any time, by putting your request in writing and submitting the request to the Medical Records department.

E. Breach Notification: ARCK will notify you if a breach of your unsecured protected health information occurs, if the information is shown to have been compromised.

IV. Use or Disclosure Permitted by Public Policy or Law without your Authorization

A. Law Enforcement Purposes: ARCK will disclose your PHI for law enforcement purposes as required by law, such as responding to a court order or subpoena, and may disclose information for purposes of identifying a criminal suspect or a missing person, or providing information about a crime victim or possible criminal conduct as part of a criminal investigation.

Required by Law: ARCK will disclose PHI about you when required by federal, state or local law to make reports or other disclosures. ARCK also will make disclosures for judicial and administrative proceedings such as lawsuits or other disputes in response to a court order or subpoena. ARCK will disclose your medical information to government agencies when required by law, such as reporting a dog bite to public health. ARCK will report drug diversion and information related to fraudulent prescription activity to law enforcement and regulatory agencies. Specialized government functions will warrant the use and disclosure of your health information. These government functions will include military and veteran's activities, national security and intelligence activities, and protective services for the President and others. ARCK will make certain disclosures that are required in order to comply with workers' compensation or similar programs.

B. Health or Safety: ARCK will use and disclose your information to avert a serious threat to health and safety of a person or the public. ARCK will use and disclose PHI to Public Health Agencies for immunizations, communicable diseases, etc. ARCK will use and disclose PHI for activities related to the quality, safety or effectiveness of FDA-regulated products or activities, including collecting and reporting adverse events, tracking and facilitating product recalls, etc. and post marketing surveillance. You may opt out of the opportunity to receive information about a medical device subject to FDA tracking requirements; i.e. you may decline to disclose, or refuse permission to disclose, your name, address, telephone number and social security number, or other identifying information for the purpose of tracking the FDA device.

V. Your Health Information Rights

Although ARCK maintains all paper and electronic records concerning your treatment you have the following rights concerning your PHI:

A. Right to Inspect and Copy: You have the right to access your PHI and to inspect and have a paper or electronic copy made of your PHI as long as we maintain it except for: psychotherapy notes (those notes kept in a personal file by a provider and not part of the formal medical record), information that may be used in anticipation of, or that will be used in a civil, criminal or administrative action or proceeding, and where prohibited or protected by law. ARCK will deny your request for access to your PHI without giving you an opportunity to review that decision if:

- ◆ You don't have the right to inspect the information; or it is otherwise prohibited or protected by law;
- ◆ You are an inmate at a correctional institution and obtaining a copy of the information would risk the health, safety, security, custody or rehabilitation of you or other inmates;
- ◆ The disclosure of the information would threaten the safety of any officer, employee or other person at the correctional institution or who is responsible for transporting you;
- ◆ You are involved in a clinical research project and ARCK created or obtained the PHI during that research. Your access to the information will be temporarily suspended for as long as the research is in progress;
- ◆ ARCK obtained the information that you seek access to from someone other than the health care provider under a promise of confidentiality and your access request is likely to reveal the source of the information; or

- ◆ Under other limited circumstances. In these instances, however, ARCK will allow the review of its decision by a health care professional that ARCK has chosen. This person will not have been involved in the original decision to deny your request.

ARCK may charge a reasonable copying charge. You must make your requests to access and copy your PHI in writing to ARCK's Medical Records. ARCK will respond to your request within 30 days of its receipt. If we cannot, we will notify you in writing to explain the delay and the date by which we will act on your request. In any event, we will act on your request within 60 days of its receipt.

B. Right to Amend: You have the right to amend your PHI for as long as ARCK maintains it. However, we will deny your request for amendment if:

- ◆ ARCK did not create the information;
- ◆ The information is not part of the designated record set;
- ◆ The information would not be available for your inspection (due to its condition or nature); or
- ◆ The information is accurate and complete.

If ARCK denies your request for changes in your PHI, we will notify you in writing with the reason for the denial. We will also inform you of your right to submit a written statement disagreeing with the denial. You may ask that we include your request for amendment and the denial any time that ARCK discloses the information that you wanted changed. ARCK may prepare a rebuttal to your statement of disagreement and will provide you with a copy of that rebuttal.

You must make your request for amendment of your PHI in writing to ARCK, including your reason to support the requested amendment. ARCK will respond to your request within 60 days of its receipt. If we cannot, we will notify you in writing to explain the delay and the date by which we will act on your request. In any event, we will act on your request within 90 days of its receipt.

C. Right to an Accounting: You have a right to receive an accounting of the disclosures of your PHI that ARCK made, except for the following disclosures:

- ◆ To carry out treatment, payment or health care operations;
- ◆ To you;
- ◆ For national security or intelligence purposes;
- ◆ To correctional institutions or law enforcement officials in custodial situations; or
- ◆ That occurred prior to April 14, 2003.

For each disclosure, you will receive: the date of the disclosure, the name of the receiving organization and address if known, a brief description of the PHI disclosed and a brief statement of the purpose of the disclosure or a copy of the written request for the information, if there was one.

You must make your request for an accounting of disclosures of your PHI in writing to ARCK's Medical Records Department. You must include the time period of the accounting, which may not be longer than 6 years. We will respond to your request within 60 days from its receipt. If we cannot, we will notify you in writing to explain the delay and the date by which we will act on your request. In any event, we will act on your request within 90 days of its receipt.

D. Right to Request Restrictions: You have the right to request restrictions on certain uses and disclosures of your PHI:

- ◆ To a health plan with respect to healthcare for which you have paid out of pocket in full prior to service being initiated. The request must be in writing.

E. Right to Confidential Communications: You have the right to receive confidential communications of your PHI by alternative means or at alternative locations. For example, you may request that we only contact you at work or by mail.

F. Right to Receive a Copy of this Notice: You have the right to receive a paper copy of this Notice of Privacy Practices, upon request.

VI. Complaints

If you believe your privacy rights have been violated, you may file a complaint with ARCK and /or with the Secretary of the Department of Health and Human Services, Office of Civil Rights. For more information, or to voice a privacy complaint for an incident at ARCK, **please contact ARCK's Privacy Officer**, at:

1921 N Webb Road
Wichita, KS 67206
(316)612-4815 or (866)612-4815

ARCK assures you that there will be no retaliation for filing a complaint.

VII. Sharing and joint use of your Health Information

In the course of providing care to you and in furtherance of ARCK's mission to improve the health of the community, ARCK will share your PHI with other organizations as described below who have agreed to abide by the terms described below:

A. Business Associates: ARCK will use and disclose your PHI to business associates contracted to perform business functions on its behalf. Whenever an arrangement between ARCK and another company involves the use or disclosure of your PHI, that business associate is required by HIPAA law to keep your information confidential.

VII. Changes to this Notice

ARCK will abide by the terms of the Notice currently in effect. ARCK reserves the right to change the terms of its Notice and to make the new Notice provisions effective for all PHI that it maintains. ARCK will provide you with the revised Notice at your first visit following the revision of the Notice.

VIII. Review of the Notice of Privacy Practice

The NPP was reviewed and updated in 2013, and is reviewed each 2 years. The most current version of the NPP is posted on ARCK's internet site www.arck.org and a copy is at the reception desk at 1921 N Webb Road, Wichita, KS 67206.